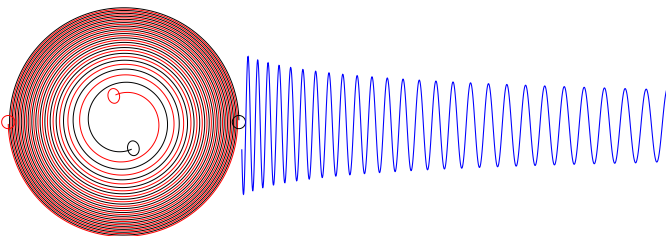


# Solving Einstein's Equation Numerically V

Lee Lindblom

Center for Astrophysics and Space Sciences  
University of California at San Diego

Mathematical Sciences Center Lecture Series  
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# Characteristic Fields for the Einstein System

- The characteristic fields  $u^{\hat{\alpha}} = e^{\hat{\alpha}}_{\beta} u^{\beta}$  for the generalized harmonic version of the Einstein evolution equations look very much like their scalar field counterparts:  $u^{\hat{\alpha}} = \{u^{\hat{0}}_{ab}, u^{\hat{1}\pm}_{ab}, u^{\hat{2}}_{iab}\}$ , given by

$$u^{\hat{0}}_{ab} = \psi_{ab},$$

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- The coordinate characteristic speeds associated with these fields also have the same forms as those for the scalar field system:

$v_{(\hat{0})} = -(1 + \gamma_1) n_k N^k$  for the fields  $u^{\hat{0}}_{ab}$ ,  $v_{(\hat{1}\pm)} = -n^k N_k \pm N$  for the fields  $u^{\hat{1}\pm}_{ab}$ , and  $v_{(\hat{2})} = -n_k N^k$  for the fields  $u^{\hat{2}}_{iab}$ .

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- A boundary condition must be imposed on each characteristic field whose characteristic speed is negative on that boundary.
- A boundary condition may not be imposed on any characteristic field whose characteristic speed is non-negative on that boundary.

# Constraint Preserving Boundary Conditions

- Construct the characteristic fields,  $\hat{c}^{\hat{A}} = e^{\hat{A}}_A c^A$ , associated with the constraint evolution system,  $\partial_t c^A + A^k A^A_B \partial_k c^B = F^A_B c^B$ .

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- Set boundary conditions on the fields  $\hat{u}^-$  by requiring

$$d_{\perp} \hat{u}^- = -\hat{F}(u, d_{\parallel} u).$$

# Constraint Characteristic Fields

- The characteristic fields associated with the constraint evolution system, and their associated characteristic speeds for the first-order Einstein system are:

$$\begin{aligned} \hat{C}_a^{\hat{0}\pm} &= \mathcal{F}_a \mp n^k \mathcal{C}_{ka} \approx t^c \partial_c \mathcal{C}_a \mp n^k \partial_k \mathcal{C}_a, & v_{(\hat{0}\pm)} &= -n_k N^k \pm N, \\ \hat{C}_a^{\hat{1}} &= \mathcal{C}_a, & v_{(\hat{1})} &= 0, \\ \hat{C}_{ia}^{\hat{2}} &= P^k{}_i \mathcal{C}_{ka} \approx (\delta^k{}_i - n^k n_i) \partial_k \mathcal{C}_a, & v_{(\hat{2})} &= -n_k N^k, \\ \hat{C}_{iab}^{\hat{3}} &= \mathcal{C}_{iab}, & v_{(\hat{3})} &= -(1 + \gamma_1) n_k N^k, \\ \hat{C}_{ijab}^{\hat{4}} &= \mathcal{C}_{ijab} = 2\partial_{[j} \mathcal{C}_{i]ab}, & v_{(\hat{4})} &= -n_k N^k. \end{aligned}$$

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- The constraint characteristic fields  $\hat{C}_a^{\hat{0}-}$ ,  $\hat{C}_{iab}^{\hat{3}}$  and  $\hat{C}_{ijab}^{\hat{4}}$  have the same characteristic speeds as the principal dynamical fields  $u_{ab}^{\hat{1}-}$ ,  $u_{ab}^{\hat{0}}$  and  $u_{iab}^{\hat{2}}$  respectively. These constraint fields will be incoming under the same conditions as these dynamical fields.

## Constraint Characteristic Fields II

- Fortunately, the incoming constraint characteristic fields,  $c_a^{\hat{0}-}$ ,  $c_{iab}^{\hat{3}}$  and  $c_{ikab}^{\hat{4}}$ , can be expressed in terms of the corresponding principal dynamical characteristic fields:

$$\begin{aligned}c_a^{\hat{0}-} &\approx \sqrt{2} \left[ k^{(c} \psi^{d)}{}_a - \frac{1}{2} k_a \psi^{cd} \right] d_{\perp} u_{cd}^{\hat{1}-}, \\n^k c_{kab}^{\hat{3}} &\approx d_{\perp} u_{ab}^{\hat{0}}, \\n^k c_{kiab}^{\hat{4}} &\approx d_{\perp} u_{iab}^{\hat{2}},\end{aligned}$$

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- Setting these incoming characteristic constraint fields to zero therefore provides boundary conditions on the normal derivatives  $d_{\perp} u^{\hat{\alpha}} = e^{\hat{\alpha}}_{\beta} n^k \partial_k u^{\beta}$  of some of the primary dynamical characteristic fields.

# Physical Boundary Conditions

- The Weyl curvature tensor  $C_{abcd}$  satisfies a system of evolution equations from the Bianchi identities:  $\nabla_{[a}C_{bc]de} = 0$ .
- The characteristic fields of this system corresponding to physical gravitational waves are the quantities:

$$\hat{W}_{ab}^{\pm} = (P_a^c P_b^d - \frac{1}{2} P_{ab} P^{cd})(t^e \mp n^e)(t^f \mp n^f) C_{cedf},$$

where  $t^a$  is a unit timelike vector,  $n^a$  a unit spacelike vector (with  $t^a n_a = 0$ ), and  $P_{ab} = \psi_{ab} + t_a t_b - n_a n_b$ .

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- The incoming field  $\hat{w}_{ab}^-$  can be expressed in terms of the characteristic fields of the primary evolution system:

$$\hat{w}_{ab}^- = d_{\perp} u_{ab}^{\hat{1}-} + \hat{F}_{ab}(u, d_{\parallel} u).$$

- We impose boundary conditions on the physical gravitational wave degrees of freedom then by setting:

$$d_{\perp} u_{ab}^{\hat{1}-} = -\hat{F}_{ab}(u, d_{\parallel} u) + \hat{w}_{ab}^-|_{t=0}.$$

# Imposing Neumann-like Boundary Conditions

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$$e^{\hat{\alpha}}_{\beta} n^k \partial_k u^{\beta} \equiv d_{\perp} u^{\hat{\alpha}} = d_{\perp} u^{\hat{\alpha}}|_{\text{BC}}.$$



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- The spatial derivatives of  $u^{\gamma}$  in this expression can be re-written:

$$\mathbf{e}^{\hat{\alpha}}_{\beta} \mathbf{A}^{k\beta}_{\gamma} \partial_k u^{\gamma} = v_{(\hat{\alpha})} \mathbf{e}^{\hat{\alpha}}_{\gamma} n^k \partial_k u^{\gamma} + \mathbf{e}^{\hat{\alpha}}_{\beta} \mathbf{A}^{\ell\beta}_{\gamma} (\delta^k_{\ell} - n^k n_{\ell}) \partial_k u^{\gamma}.$$

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- We impose these Neumann-like boundary conditions by changing the appropriate components of the evolution equations at the boundary to:

$$d_t u^{\hat{\alpha}} = D_t u^{\hat{\alpha}} + v_{(\hat{\alpha})} (d_{\perp} u^{\hat{\alpha}} - d_{\perp} u^{\hat{\alpha}}|_{\text{BC}}).$$

## Gauge Boundary Conditions

- Constraint preserving and physical boundary conditions discussed above place conditions on some (but not all) of the components of the incoming characteristic field  $u_{ab}^{\hat{1}-}$ :
- Constraint preserving boundary conditions place conditions on

$$P_{ab}^{Ccd} d_{\perp} u_{cd}^{\hat{1}-} \equiv \left( \frac{1}{2} P_{ab} P^{cd} - 2l_{(a} P_{b)}^{(c} k^{d)} + l_a l_b k^c k^d \right) d_{\perp} u_{cd}^{\hat{1}-}.$$

- Physical boundary conditions place conditions on

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- Additional “gauge” boundary conditions are needed for the remaining components of  $u_{ab}^{\hat{1}-}$ :

$$P_{ab}^{Gcd} u_{cd}^{\hat{1}-} \equiv \left( \delta_a^c \delta_b^d - P_{ab}^{Ccd} - P_{ab}^{Pcd} \right) u_{cd}^{\hat{1}-}.$$

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$$P_{ab}^{Gcd} d_t u_{cd}^{\hat{1}-} = 0.$$

These conditions are mathematically well posed, but they tend to generate a lot of boundary reflections of the gauge degrees of freedom. These conditions do not produce solutions that converge rapidly with increasing numerical resolution.

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- Better, less reflective, gauge boundary conditions can be obtained by imposing what amount to Sommerfeld conditions on these gauge degrees of freedom:

$$P_{ab}^{Gcd} d_t \left[ u_{cd}^{\hat{1}-} + (\gamma_2 - r^{-1}) u_{cd}^{\hat{0}} \right] = 0.$$



# Outer Boundary Conditions for the Einstein System

- The combined constraint preserving boundary conditions, plus the simple “no incoming  $\Psi_0$ ” physical gravitational wave boundary conditions for the first-order generalized harmonic Einstein evolution system are given by:

$$\begin{aligned}
 d_t u_{ab}^{\hat{0}} &= D_t u_{ab}^{\hat{0}} - (1 + \gamma_1) n_j N^j n^k c_{kab}^{\hat{3}}, \\
 d_t u_{ab}^{\hat{1}-} &= P_{ab}^{Pcd} [D_t u_{cd}^{\hat{1}-} - (N + n_j N^j) (\hat{w}_{cd}^- - \gamma_2 n^i c_{icd}^{\hat{3}})] \\
 &\quad - (\gamma_2 - r^{-1}) P_{ab}^{Gcd} d_t u_{cd}^{\hat{0}} + P_{ab}^{Ccd} D_t u_{cd}^{\hat{1}-} \\
 &\quad + \sqrt{2} (N + n_j N^j) [l_{(a} P_{b)}^c - \frac{1}{2} P_{ab} l^c - \frac{1}{2} l_a l_b k^c] c_c^{\hat{0}-}, \\
 d_t u_{kab}^{\hat{2}} &= D_t u_{kab}^{\hat{2}} - n_l N^l n^i P^j_k c_{ijab}^{\hat{4}}.
 \end{aligned}$$

The quantity  $P_{ab}$  in these expressions is the projection tensor,  $P_{ab} = \psi_{ab} + t_a t_b - n_a n_b$ , the incoming null vector  $k^a$  is defined by  $k^a = (t^a - n^a) / \sqrt{2}$ , and the outgoing null vector  $l^a$  is defined by  $l^a = (t^a + n^a) / \sqrt{2}$ .

# Tests of Constraint Preserving and Physical BC

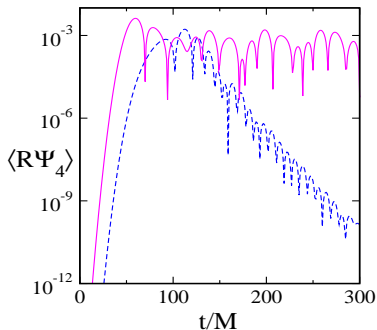
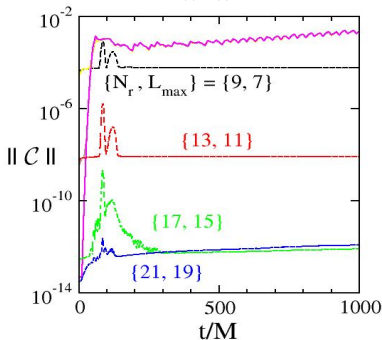
- Evolve the perturbed black-hole spacetime using the resulting constraint preserving boundary conditions for the generalized harmonic evolution systems.

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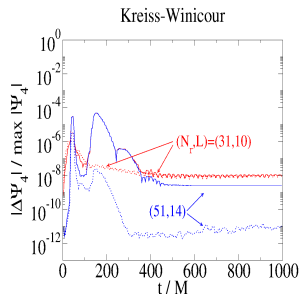
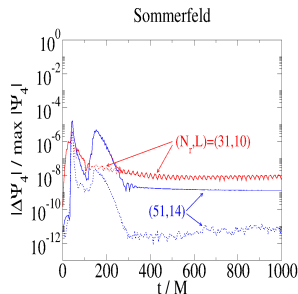
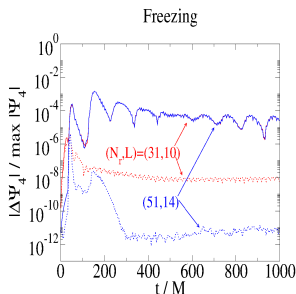
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- Evolutions using new BC are stable and convergent.
- The Weyl curvature  $\Psi_4$  shows quasi-normal mode oscillations when new BC are used.

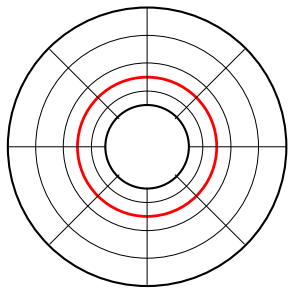
# Tests of Constraint Preserving and Physical BC II

- Evolve a perturbed black-hole spacetime using the resulting constraint preserving boundary conditions for the generalized harmonic evolution systems. Compare results of evolutions on a small domain with  $R = 41.9M$  with results from a large domain with  $R = 961.9M$ . Compare results using constraint preserving BC (dotted lines), with other possible outer boundary treatments (solid lines), see Rinne, et. al (2007) for details.



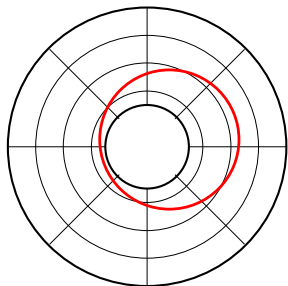
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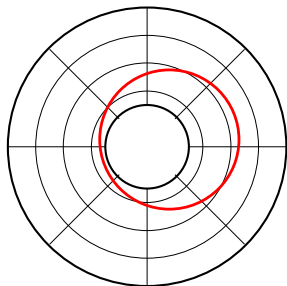
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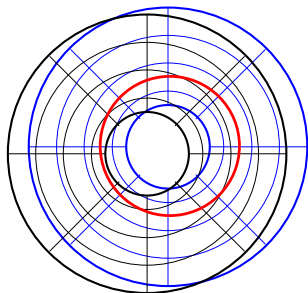
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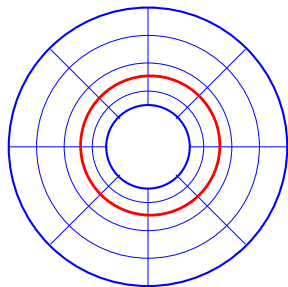
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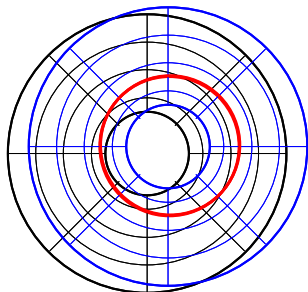
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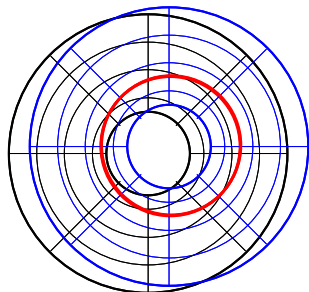
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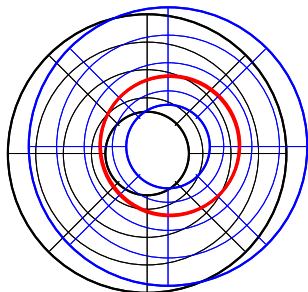
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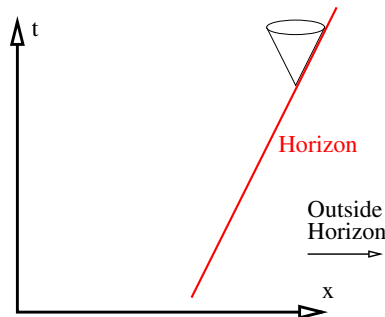
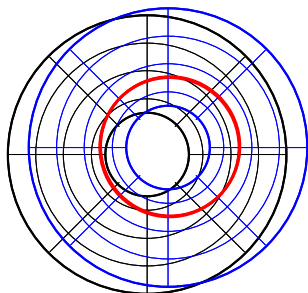
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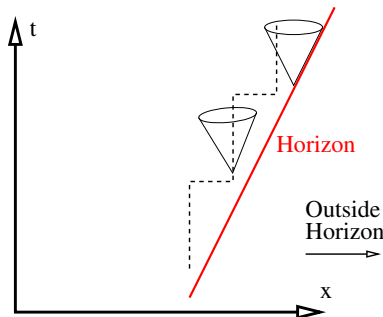
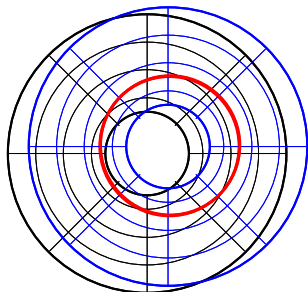
# Moving Black Holes Using Spectral Methods

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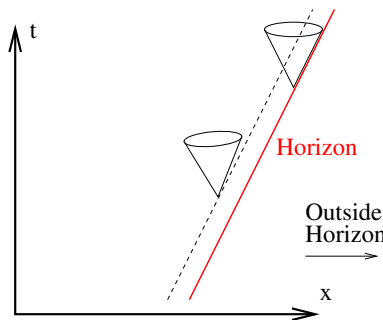


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- **Solution:**

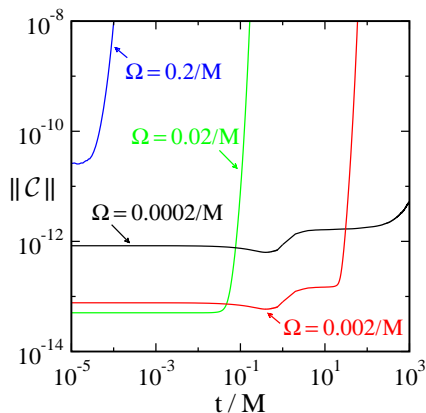
Choose coordinates that smoothly track the location of the black hole.

For a black hole binary this means using coordinates that rotate with respect to inertial frames at infinity.



# Evolving Black Holes in Rotating Frames

- Coordinates that rotate with respect to the inertial frames at infinity are needed to track the horizons of orbiting black holes.
- Evolutions of Schwarzschild in rotating coordinates are unstable.



- Evolutions shown use a computational domain that extends to  $r = 1000M$ .
- Angular velocity needed to track the horizons of an equal mass binary at merger is about  $\Omega \approx 0.2/M$ .
- Problem caused by asymptotic behavior of metric in rotating coordinates:  $\psi_{tt} \sim \varpi^2 \Omega^2$ ,  $\psi_{ti} \sim \varpi \Omega$ ,  $\psi_{ij} \sim 1$ .



## Dual-Coordinate-Frame Evolution Method

- Single-coordinate frame method uses the one set of coordinates,  $x^{\bar{a}} = \{\bar{t}, x^{\bar{i}}\}$ , to define field components,  $u^{\bar{\alpha}} = \{\psi_{\bar{a}\bar{b}}, \Pi_{\bar{a}\bar{b}}, \Phi_{\bar{i}\bar{a}\bar{b}}\}$ , and the same coordinates to determine these components by solving Einstein's equation for  $u^{\bar{\alpha}} = u^{\bar{\alpha}}(x^{\bar{a}})$ :

$$\partial_{\bar{i}} u^{\bar{\alpha}} + A^{\bar{k}\bar{\alpha}}_{\bar{\beta}} \partial_{\bar{k}} u^{\bar{\beta}} = F^{\bar{\alpha}}.$$

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- Dual-coordinate frame method uses basis vectors of one coordinate system to define components of fields, and a second set of coordinates,  $x^a = \{t, x^i\} = x^a(x^{\bar{a}})$ , to represent these components as functions,  $u^{\bar{\alpha}} = u^{\bar{\alpha}}(x^a)$ .

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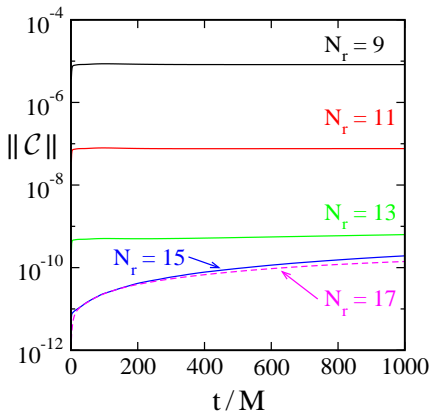
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- These functions are determined by solving the transformed Einstein equation:

$$\partial_t u^{\bar{\alpha}} + \left[ \frac{\partial x^i}{\partial \bar{t}} \delta^{\bar{\alpha}}_{\bar{\beta}} + \frac{\partial x^i}{\partial x^{\bar{k}}} A^{\bar{k}\bar{\alpha}}_{\bar{\beta}} \right] \partial_i u^{\bar{\beta}} = F^{\bar{\alpha}}.$$

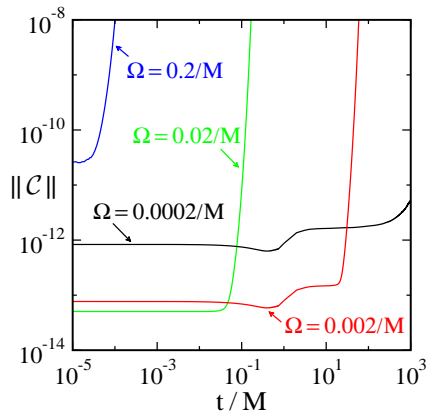
# Testing Dual-Coordinate-Frame Evolutions

- Single-frame evolutions of Schwarzschild in rotating coordinates are unstable, while dual-frame evolutions are stable:

### Dual Frame Evolution



### Single Frame Evolution



- Dual-frame evolution shown here uses a comoving frame with  $\Omega = 0.2/M$  on a domain with outer radius  $r = 1000M$ .

# Horizon Tracking Coordinates

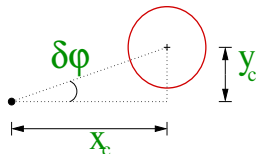
- Coordinates must be used that track the motions of the holes.
- The coordinate transformation from inertial coordinates,  $(\bar{x}, \bar{y}, \bar{z})$ , to co-moving coordinates  $(x, y, z)$ ,

$$\begin{pmatrix} x \\ y \\ z \end{pmatrix} = e^{a(\bar{t})} \begin{pmatrix} \cos \varphi(\bar{t}) & -\sin \varphi(\bar{t}) & 0 \\ \sin \varphi(\bar{t}) & \cos \varphi(\bar{t}) & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \bar{x} \\ \bar{y} \\ \bar{z} \end{pmatrix},$$

with  $t = \bar{t}$ , is general enough to keep the holes fixed in co-moving coordinates for suitably chosen functions  $a(\bar{t})$  and  $\varphi(\bar{t})$ .

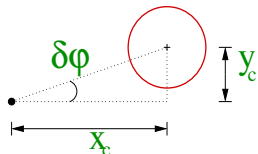
- Since the motions of the holes are not known *a priori*, the functions  $a(\bar{t})$  and  $\varphi(\bar{t})$  must be chosen dynamically and adaptively as the system evolves.

## Horizon Tracking Coordinates II



- Measure the comoving centers of the holes:  $x_c(t)$  and  $y_c(t)$ , or equivalently  $Q^x(t) = [x_c(t) - x_c(0)]/x_c(0)$  and  $Q^y(t) = y_c(t)/x_c(t)$ .
- Choose the map parameters  $a(t)$  and  $\varphi(t)$  to keep  $Q^x(t)$  and  $Q^y(t)$  small.

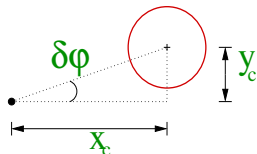
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- Measure the quantities  $Q^y(t)$ ,  $dQ^y(t)/dt$ ,  $d^2Q^y(t)/dt^2$ , and set

$$\frac{d^3\varphi}{dt^3} = \lambda^3 Q^y + 3\lambda^2 \frac{dQ^y}{dt} + 3\lambda \frac{d^2Q^y}{dt^2} = -\frac{d^3Q^y}{dt^3}.$$

The solutions to this “closed-loop” equation for  $Q^y$  have the form  $Q^y(t) = (At^2 + Bt + C)e^{-\lambda t}$ , so  $Q^y$  always decreases as  $t \rightarrow \infty$ .



## Horizon Tracking Coordinates III

- In practice the coordinate maps are adjusted only at a prescribed set of adjustment times  $t = t_i$ .
- In the time interval  $t_i < t < t_{i+1}$  we set:

$$\begin{aligned}\varphi(t) = & \varphi_i + (t - t_i) \frac{d\varphi_i}{dt} + \frac{(t - t_i)^2}{2} \frac{d^2\varphi_i}{dt^2} \\ & + \frac{(t - t_i)^3}{2} \left( \lambda \frac{d^2 Q_i^y}{dt^2} + \lambda^2 \frac{dQ_i^y}{dt} + \lambda^3 \frac{Q_i^y}{3} \right),\end{aligned}$$

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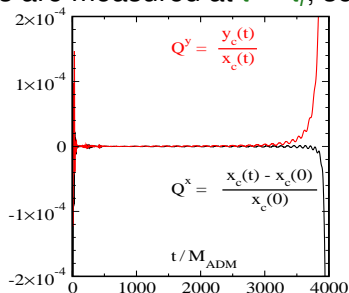
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- **This works!** We are now able to evolve binary black holes using horizon tracking coordinates until just before merger.



# Horizon Tracking Coordinates

- Coordinates must be used that track the motions of the holes.
- This can be implemented by using a coordinate transformation from inertial coordinates,  $\bar{x}^i$ , to co-moving coordinates  $x^i$ , consisting of a translation followed by a rotation followed by an expansion:

$$x^i = e^{a(\bar{t})} R^{(z) i}_j[\varphi(\bar{t})] R^{(y) j}_k[\xi(\bar{t})] \left[ \bar{x}^k - c^k(\bar{t}) \right],$$
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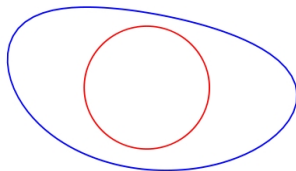
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- This transformation keeps the holes fixed in co-moving coordinates for suitably chosen  $a(\bar{t})$ ,  $\varphi(\bar{t})$ ,  $\xi(\bar{t})$ , and  $c^k(\bar{t})$ .
- Motions of the holes are not known *a priori*, so  $a(\bar{t})$ ,  $\varphi(\bar{t})$ ,  $\xi(\bar{t})$ , and  $c^k(\bar{t})$  must be chosen dynamically and adaptively.
- A simple feedback-control system has been used to choose  $a(\bar{t})$ ,  $\varphi(\bar{t})$ ,  $\xi(\bar{t})$ , and  $c^k(\bar{t})$  by fixing the black-hole positions, even in evolutions with precession and “kicks”.

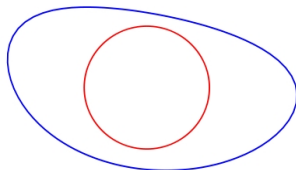
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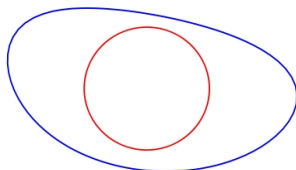
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  - Some points on the excision boundary are much deeper inside the singular black hole interior. Numerical errors and constraint violations are largest there, sometimes leading to instabilities.

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  - Some points on the excision boundary are much deeper inside the singular black hole interior. Numerical errors and constraint violations are largest there, sometimes leading to instabilities.
  - When the horizons move relative to the excision boundary points, the excision boundary can become timelike, and boundary conditions are then needed there.

## Horizon Distortion Maps II

- Adjust the placement of grid points near each black hole using a horizon distortion map that connects grid coordinates  $x^i$  to points in the black-hole rest frame  $\tilde{x}^i$ :

$$\begin{aligned}\tilde{\theta}_A &= \theta_A, & \tilde{\varphi}_A &= \varphi_A, \\ \tilde{r}_A &= r_A - f_A(r_A, \theta_A, \varphi_A) \sum_{\ell=0}^L \sum_{m=-\ell}^{\ell} \lambda_A^{\ell m}(t) Y_{\ell m}(\theta_A, \varphi_A).\end{aligned}$$



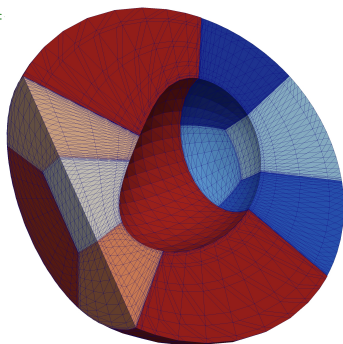
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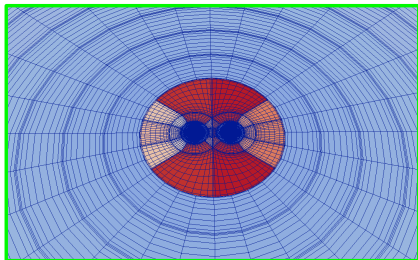
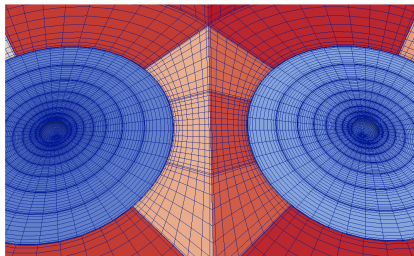
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- Choose  $f_A$  to scale linearly from  $f_A = 1$  on the excision boundary, to  $f_A = 0$  on cut sphere.
- Adjust the coefficients  $\lambda_A^{\ell m}(t)$  using a feedback-control system to keep the excision surface the same shape and slightly smaller than the horizon, and to keep the boundary spacelike.



# Caltech/Cornell Spectral Einstein Code (SpEC):

- Multi-domain pseudo-spectral evolution code.



Lovelace, Scheel, & Szilágyi (2010) high spin evolution grids.

- Constraint damped “generalized harmonic” Einstein equations:  
$$\psi^{cd} \partial_c \partial_d \psi_{ab} = Q_{ab}(\psi, \partial\psi).$$
- Dual frame evolutions with horizon tracking and distortion maps.
- Constraint-preserving, physical and gauge boundary conditions.
- Spectral AMR.