A 'New' Generalized-Harmonic Evolution System

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- Generalized Harmonic (GH) coordinates have two interesting properties exploited recently by Frans Pretorius to perform some very impressive numerical evolutions of binary black-hole spacetimes.
- Outline of this talk:
 - How these special properties of GH coordinates make stable numerical evolutions possible.
 - Extending the GH system in a way that makes the formulation of appropriate boundary conditions easier, etc.
 - Numerical tests of the new extended GH evolution system.

Methods of Specifying Spacetime Coordinates

- The lapse *N* and shift N^i are generally used to specify how coordinates are layed out on a spacetime manifold: $\partial_t = Nt^a + N^k \partial_k$.
- An alternate way to specify how the coordinates are layed out on spacetime is through the generalized harmonic gauge source function H_a(x):
 - Let $H_a(x)$ denote the function obtained by the action of the scalar wave operator on the coordinates x^b :

$$H_a(x) \equiv \psi_{ab} \nabla^c \nabla_c x^b = -\Gamma_a,$$

where ψ_{ab} is the 4-metric, and $\Gamma_a = \psi^{bc} \Gamma_{abc}$.

- Specifying *generalized harmonic* (GH) coordinates is accomplished by choosing a gauge-source function $H_a(x)$, and requiring that $H_a(x) = -\Gamma_a$.

Important Properties of GH Coordinates

 The Einstein equations are manifestly hyperbolic when coordinates are specified using a GH gauge function: The Ricci tensor may be written as

$$R_{ab} = -\frac{1}{2} \psi^{cd} \partial_c \partial_d \psi_{ab} + \nabla_{(a} \Gamma_{b)} + F_{ab}(\psi, \partial \psi),$$

where ψ_{ab} is the 4-metric, and $\Gamma_a = \psi^{bc}\Gamma_{abc}$. The vacuum Einstein equation, $R_{ab} = 0$, has the same principal part as the scalar wave equation when the GH gauge condition, $H_a(x) = -\Gamma_a$, is imposed.

• Imposing coordinates using a GH gauge function changes the nature of the constraints of the Einstein system in a profound way. The GH constraint equation, $\mathscr{C}_a = 0$, where

$$\mathscr{C}_a = H_a + \Gamma_a,$$

depends only on first derivatives of the metric. The standard Hamiltonian and momentum constraints, $\mathcal{M}_a = 0$, are determined by the derivatives of the gauge constraint \mathcal{C}_a :

$$\mathcal{M}_a \equiv G_{ab} t^b = t^b \Big(\nabla_{(a} \mathscr{C}_{b)} - \frac{1}{2} \psi_{ab} \nabla^c \mathscr{C}_c \Big).$$

Constraint Damping Generalized Harmonic System

• Pretorius (based on a suggestion from Gundlach, et al.) modified the GH system by adding terms proportional to the gauge constraints:

$$0 = R_{ab} - \nabla_{(a} \mathscr{C}_{b)} + \gamma_0 t_{(a} \mathscr{C}_{b)} - \frac{1}{2} \gamma_0 \psi_{ab} t^c \mathscr{C}_c,$$

where t^a is a unit timelike vector field. Since $\mathscr{C}_a = H_a + \Gamma_a$ depends only on first derivatives of the metric, these additional terms do not change the hyperbolic structure of the system.

• The evolution of the constraints \mathscr{C}_a for this system can be deduced from the Bianci identities:

$$0 = \nabla^c \nabla_c \mathscr{C}_a - 2\gamma_0 \nabla^c [t_{(c} \mathscr{C}_{a)}] + \mathscr{C}^c \nabla_{(c} \mathscr{C}_{a)} - \frac{1}{2} \gamma_0 t_a \mathscr{C}^c \mathscr{C}_c.$$

• This is a damped wave equation for \mathscr{C}_a , that drives all small short-wavelength constraint violations toward zero as the system evolves (for $\gamma_0 > 0$).

First Order Generalized Harmonic Evolution System

- We prefer to solve first-order equations numerically. (More is known about first-order systems: how to formulate well-posed boundary conditions, when shocks form, etc.)
- Kashif Alvi (2002) derived a nice (symmetric hyperbolic) first-order form for the generalized-harmonic evolution system:

$$\partial_t \psi_{ab} - N^k \partial_k \psi_{ab} = -N \Pi_{ab},$$

 $\partial_t \Pi_{ab} - N^k \partial_k \Pi_{ab} + N g^{ki} \partial_k \Phi_{iab} \simeq 0,$
 $\partial_t \Phi_{iab} - N^k \partial_k \Phi_{iab} + N \partial_i \Pi_{ab} \simeq 0,$

where $\Phi_{kab} = \partial_k \psi_{ab}$.

- This system has two immediate problems:
 - This system has new constraints, $\mathscr{C}_{iab} = \partial_i \psi_{ab} \Phi_{iab}$, that tend to grow exponentially during numerical evolutions.
 - This system is not linearly degenerate, so it is possible (likely) that shocks will develop (e.g. the shift evolution equation is of the form $\partial_t N^i N^k \partial_k N^i \simeq 0$).

A 'New' Generalized Harmonic Evolution System

• We can correct these problems by adding additional multiples of the constraints to the evolution system:

$$\partial_t \psi_{ab} - (1 + \gamma_1) N^k \partial_k \psi_{ab} = -N \Pi_{ab} - \gamma_1 N^k \Phi_{kab},$$

$$\partial_t \Pi_{ab} - N^k \partial_k \Pi_{ab} + N g^{ki} \partial_k \Phi_{iab} - \gamma_1 \gamma_2 N^k \partial_k \psi_{ab} \simeq -\gamma_1 \gamma_2 N^k \Phi_{kab},$$

$$\partial_t \Phi_{iab} - N^k \partial_k \Phi_{iab} + N \partial_i \Pi_{ab} - \gamma_2 N \partial_i \psi_{ab} \simeq -\gamma_2 N \Phi_{iab},$$

- This 'new' generalized-harmonic evolution system has several nice properties:
 - This system is linearly degenerate for $\gamma_1 = -1$ (and so shocks should not form from smooth initial data).
 - The Φ_{iab} evolution equation can be written in the form, $\partial_t \mathscr{C}_{iab} - N^k \partial_k \mathscr{C}_{iab} \simeq -\gamma_2 N \mathscr{C}_{iab}$, so the new constraints are damped when $\gamma_2 > 0$.
 - This system is symmetric hyperbolic for all values of the parameters γ_1 and γ_2 .

Constraint Evolution for the New GH System

The evolution of the constraints,

 $c^{A} = \{\mathscr{C}_{a}, \mathscr{C}_{kab}, \mathscr{F}_{a} \approx t^{c} \partial_{c} \mathscr{C}_{a}, \mathscr{C}_{ka} \approx \partial_{k} \mathscr{C}_{a}, \mathscr{C}_{klab} = \partial_{[k} \mathscr{C}_{l]ab}\}$ are determined by the evolution of the dynamical fields $u^{\alpha} = \{\psi_{ab}, \Pi_{ab}, \Phi_{kab}\}$:

 $\partial_t c^A + A^{kA}{}_B(u)\partial_k c^B = F^A{}_B(u,\partial u) c^B.$

• This constraint evolution system is symmetric hyperbolic with principal part:

 $egin{array}{rcl} \partial_t \mathscr{C}_a &\simeq & 0, \ \partial_t \mathscr{F}_a - N^k \partial_k \mathscr{F}_a - N g^{ij} \partial_i \mathscr{C}_{ja} &\simeq & 0, \ \partial_t \mathscr{C}_{ia} - N^k \partial_k \mathscr{C}_{ia} - N \partial_i \mathscr{F}_a &\simeq & 0, \ \partial_t \mathscr{C}_{iab} - (1 + \gamma_1) N^k \partial_k \mathscr{C}_{iab} &\simeq & 0, \ \partial_t \mathscr{C}_{ijab} - N^k \partial_k \mathscr{C}_{ijab} &\simeq & 0. \end{array}$

• An analysis of this system shows that all of the constraints are damped in the WKB limit when $\gamma_0 > 0$ and $\gamma_2 > 0$. So, this system has constraint suppression properties that are similar to those of the Pretorius (and Gundlach, et al.) system.

Boundary Condition Basics

Boundary conditions are imposed on first-order hyperbolic evolutions systems,

$$\partial_t u^{\alpha} + A^{k \alpha}{}_{\beta}(u) \partial_k u^{\beta} = F^{\alpha}(u),$$

in the following way:

• Find the eigenvectors of the characteristic matrix $n_k A^{k\alpha}{}_{\beta}$ at each boundary point:

$$e^{\hat{\alpha}}{}_{\alpha} n_k A^{k\,\alpha}{}_{\beta} = v_{(\hat{\alpha})} e^{\hat{\alpha}}{}_{\beta}$$

where n_k is the outward directed unit normal.

Define the characteristic fields:

$$u^{\hat{\alpha}} = e^{\hat{\alpha}}{}_{\alpha}u^{\alpha}.$$

(For hyperbolic evolution systems the eigenvectors $e^{\hat{\alpha}}_{\alpha}$ are complete SO det $e^{\hat{\alpha}}_{\alpha} \neq 0$.)

 A boundary condition must be imposed on every incoming characteristic field (*i.e.* every field with $v_{(\hat{\alpha})} < 0$), and must not be imposed on any outgoing field (*i.e.* any field with $v_{(\hat{\alpha})} > 0$).

Boundary Condition Basics II

- Why are these incoming characteristic fields the right ones to impose boundary conditions on?
- Consider the propagation of short wavelength perturbations (the WKB limit) normal to the boundary. Multiply the evolution equations,

 $\partial_t \delta u^{\alpha} + n_k A^{k \alpha}{}_{\beta}(u) \partial_{\perp} \delta u^{\beta} \approx 0,$

by the characteristic eigenvector matrix $e^{\hat{\alpha}}_{\alpha}$, to obtain a decoupled set of evolution equations for the perturbed characteristic fields:

$$\partial_t \delta u^{\hat{\alpha}} + v_{(\hat{\alpha})} \partial_\perp \delta u^{\hat{\alpha}} \approx 0.$$

 The solutions to these perturbed characteristic evolution equations (in the WKB limit) are simple traveling waves of the form,

$$\delta u^{\hat{\alpha}} = \delta u^{\hat{\alpha}} (x_{\perp} - v_{(\hat{\alpha})} t).$$

• The incoming characteristic waves, those with $v_{(\hat{\alpha})} < 0$, must have boundary conditions imposed on them. It is inconsistent to impose any boundary condition on the outgoing characteristic waves, those with $v_{(\hat{\alpha})} > 0$.

Numerical Tests of the 'New' GH System

- 3D numerical evolutions of static black-hole spacetimes illustrate the constraint damping properties of this new GH evolution system.
- These evolutions are stable and convergent when $\gamma_0 = \gamma_2 = 1$.



• The boundary conditions used for this simple test problem freeze the incoming characteristic fields to their initial values.

Evolutions of a Perturbed Schwarzschild Black Hole

- A more stringent numerical test uses Schwarzschild initil data and a boundary condition on the incoming physical GW characteristic projection of $\psi_{ab} = f(t)(\hat{x}^a \hat{x}^b + \hat{y}^a \hat{y}^b 2\hat{z}^a \hat{z}^b)$ having a time profile $f(t) = \mathscr{A} e^{-(t-t_p)^2/w^2}$ with $\mathscr{A} = 10^{-3}$, $t_p = 60M$, and w = 10M.
- Evolutions using simple "freezing" boundary conditions on the remaining incoming characteristic fields are stable and convergent. But these solutions have non-negligable constraint violations (which do not converge away).



Better boundary conditions are needed to prevent the influx of constraint violations.

Constraint Preserving Boundary Conditions

• The evolution of the GH constraints are described by a hyperbolic evolution system:

$$\partial_t c^A + A^{kA}{}_B(u) \partial_k c^B = F^A{}_B(u, \partial u) c^B.$$

- Find the characteristic constraint fields $c^{\hat{A}} = e^{\hat{A}}_{A}c^{A}$.
- Divide the constraints into incoming and outgoing fields:

$$\mathbf{c} = \{\mathbf{c}^-, \mathbf{c}^+\}.$$

 Use the definitions of the constraints to re-express them in terms of the principal characteristic fields:

$$\mathbf{c}^{-} = d_{\perp}\mathbf{u}^{-} + \mathbf{f}^{-}(\partial_{\parallel}u, u),$$

where $\mathbf{f}^-(\partial_{\parallel} u, u)$ indicates terms with derivatives of the fields tangent to the boundary, and terms algebraic in the fields.

 Set boundary conditions on those incoming dynamical fields that will ensure the incoming constraint fields are zero at the boundaries:

$$d_{\perp}\mathbf{u}^{-}=-\mathbf{f}^{-}(\partial_{\parallel}u,u).$$

Numerical Tests of Constraint Preserving Boundary Conditions

• 3D numerical evolutions of Kerr with $\vec{s} = (0.1, 0.2, 0.3)$ using $\gamma_0 = \gamma_2 = 1$, constraint preserving boundary conditions, and physical boundary conditions that set $\partial_t \Psi_0 = 0$:



• These evolutions are also stable and convergent when $\gamma_0 = \gamma_2 = 1$, even though no rigorous well-posedness theorem currently exists for these constraint-preserving and physical boundary conditions.

Perturbed Schwarzschild Black Hole with Constaint Preserving BC

• Evolve a Schwarzschild black hole perturbed by the incoming gravitational waves as before. Impose constraint preserving boundary conditions on the remaining incoming characteristic fields.



- Evolutions using these new constraint-preserving boundary conditions are still stable and convergent.
- The Weyl curvature component Ψ₄ shows clear quasi-normal mode oscillations in the outgoing gravitational wave flux when constraint-preserving boundary conditions are used.

Trouble in Paradise

- 3D numerical evolutions in spacetimes with large tangential shift components on the boundary of the computional domain (e.g. any spacetime in rotating coordinates) all appear to be unstable.
- Even evolutions of flat space in coordinates that rotate with angular velocity $\Omega > 0$ are unstable in the new GH system:



• This may be a problem with our boundary conditions, or ... ?